CENTRAL STATISTICAL AGENCY

Form ESS-H (13/14)



2013/14 ETHIOPIAN RURAL SOCIOECONOMIC SURVEY

STRICTLY CONFIDENTIAL

Household Questionnaire

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| | Region | | Zone | Woreda | Town (F | | Subcity (For rural code 88) | Kebele/FA | EA |
| | Code | | Code | C | ode | Code | Code | Code | Code |
| | 8 | | 9 | 1 | 0 | | 11 | 12 | 2 |
| | Household ID | | | ze | | House | ehold Head Name | Village name wh | ere the HH lives |
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| | 12a | |
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| Is this a panel | household (from wave 1) or a co household to the ERSS? | mpletely new |
| PANEL BRAND | (WAVE 1) HOUSEHOLD | .1 .2 |
| | | |

| STAFF DETAILS | Name | Code | Signature | | Date | |
|------------------------------|------|------|-----------|----|------|----|
| | | | | DD | MM | YY |
| 26. Enumerator | | | | | | |
| 27. Supervisor | | | | | | |
| 28. Coordinator/Statistician | | | | | | |
| 29. Data Editor 1 | | | | | | |
| 30. Data Editor 2 (Verifier) | | | | | | |
| 31. Data Entry clerk | | | | | | |
| 32. Data Entry Verifier | | | | | | |

| FIRST INTERVIEW | | | | | | | | | | | | | | | | | |
|--|----------|---------------------------------------|----------|------------|-----------|------------|------------|----------|----------|----------|---------|---------|---------|--------|--------|--------|--------|
| 13. DATE OF FIRST INTERVIEW: | | 1 1 | |] | IDATE / M | 10NTH / YI | EAR1 | | | | | | | | | | |
| 14. TIME FIRST INTERVIEW STARTED | | : | | Ī | , | , | , | | | | | | | | | | |
| 15 TIME FIRST INTERVIEW ENDED | | : | | | | | | | | | | | | | | | |
| 16. SECTIONS MISSING/INCOMPLETE AFTER 1ST INTERVIEW | Cover | Sec 1 | Sec 2 | Sec 3 | Sec 4 | Sec 5A | Sec 5B | Sec 6 | Sec 7 | Sec 8 | Sec 9 | Sec 10 | Sec 11 | Sec 12 | Sec 13 | Sec 14 | Sec 15 |
| SECOND INTERVIEW | | | | _ | | | | | | | | | | | | | |
| 17. DATE OF SECOND INTERVIEW VISIT: | | / / | | <u> </u> | [DATE / N | 10NTH / YI | EAR] | | | | | | | | | | |
| 18. TIME SECOND INTERVIEW STARTED | | : | | | | | | | | | | | | | | | |
| 19. TIME SECOND INTERVIEW ENDED | | : | 1 | | | | 1 | _ | 1 | 1 | | 1 | 1 | 1 | | | 1 |
| 20 SECTIONS MISSING/INCOMPLETE AFTER 2ND INTERVIEW | Cover | Sec 1 | Sec 2 | Sec 3 | Sec 4 | Sec 5A | Sec 5B | Sec 6 | Sec 7 | Sec 8 | Sec 9 | Sec 10 | Sec 11 | Sec 12 | Sec 13 | Sec 14 | Sec 15 |
| | | | | | | | | | | | | | | | | | |
| THIRD INTERVIEW | | | | 1 | | | | | | | | | | | | | |
| 21. DATE OFTHIRD INTERVIEW VISIT: | | / / | | _ | [DATE / N | 10NTH / YI | EAR] | | | | | | | | | | |
| 22. TIME THIRD INTERVIEW STARTED | | : | | _ | | | | | | | | | | | | | |
| 23. TIME THIRD INTERVIEW ENDED | | <u>:</u> | T | | T | | | T | 1 | T | 1 | I | I | | T | I | T |
| 24. SECTIONS MISSING/INCOMPLETE AFTER 3RD INTERVIEW | Cover | Sec 1 | Sec 2 | Sec 3 | Sec 4 | Sec 5A | Sec 5B | Sec 6 | Sec 7 | Sec 8 | Sec 9 | Sec 10 | Sec 11 | Sec 12 | Sec 13 | Sec 14 | Sec 15 |
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| 25. GPS COORDINATES OF THE DWELLING (UTM READIN LATITUDE (N) | IG). | | | 7 | | | | | LONGITUE | NE (E) | | | | | 7 | | |
| LATTODE (N) | | | I | 1 | | | | T | LONGITUL |)E (E) | | | | | = | | |
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| A | AFTER CO | MPLETING | EACH V | SIT, PLEAS | SE MAKE (| DBSERVA | TIONS ON | THE INTE | RVIEW | | | | | | | т | |
| RECORD GENERAL NOTES ABOUT THE INTE | | AND DE | CODD | ANIV OF | | NEODA | 4ΑΤΙΩΝΙ | TUAT | MIL DE | - UELD | | OD CUI | טרט\/ומ | ODe | A NID | | |
| RECORD GENERAL NOTES ABOUT THE INTER | | | | OF THI | _ | | | INAIV | VILL DE | INELP | FUL F | OK SUF | CKVK | OKS | AND | | |
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| THIS SECT | TION TO | BE COM | PLETED I | BY SUPER | VISOR | | | | | | | | | | | - | |
| | | | | | 7 | | | | | | | | | | | | |
| 33. STATUS OF QUESTIONNAIRE FIELD ONL | .Υ | DAT | A ENTRY | ONLY | _ | Respons | | | 1 | Refused | | .ocated | 4 5 | | | | |
| Co | ode | | | ode |] | | Complete. | | 2 | Moved A | | aieu | 6 | | | | |
| | | | | | | Not at Ho | me | | 3 | Other (S | pecify) | | 7 | | | | |
| | | | | | _ | | | | | | | | | | | | |
| 34. BRANCH NAME & CODE | | | | | | | | | | | | | | | | | |

NAME

CODE

SECTION 1: HOUSEHOLD ROSTER

| | 4c. 4d. 4e. 4f. | | 4f. | 4g. | | | 4h. | 5. | 6. | 7. | 8. | |
|----------|-----------------|-------------|----------------|----------------|---------------|---------------------|------------|--------------|---------------|--------------|------------------------|--|
| | Is [NAME] still | Is the sex | What is the | CHECK IF THE | What is [NA | ME]'s day, r | nonth, and | What is | For how many | | What is [NAME]'s main | What is [NAME]'s marital status? |
| 1 | a member of | recorded on | correct sex of | AGE | year of birtl | | | [NAME]'s | months during | | religion? | |
| N | this | flap F | [NAME]? | RECORDED | | | | correct age? | the last 12 | 10 YEARS AND | - | |
| Ь | household? | accurate? | - | ON THE | | | | | months was | OLDER? | | |
| Ιī | | | | PRINTOUT IS | | CODES FOR M | | | [NAME] away | | | |
| | | | | THE SAME AS | | SEPTEMBER. | | | from the | | | |
| ٧ | | | | THE ANSWER | | OCTOBER | | | household? | | | |
| ı | | | | GIVEN FOR | 1 | DECEMBER | . 4 | | nousenoia. | | | |
| D | | | | Q4.(compare | | JANUARY FEBRUARY | | | | | | NEVER MARRIED1 (► Q11) |
| lυ | | | | with the age | | MARCH | | | | | | MARRIED (MONOGAMOUS)2 MARRIED (POLYGAMOUS)3 |
| Α | | | | from | | APRIL | | | | | MUSLEM4 | DIVORCED |
| Ιî | | | | postplanting | | MAY | | | | | TRADITIONAL5 PAGAN6 | SEPERATED |
| ľ | | | | and Livestock | | JULY | 11 | | | | WAKIFATA7 | WIDOWED |
| | | | | member's | | AUGUST | | | | YES1 | OTHER (Specify).8 | |
| 1 | | | | | | AGOPIL | | | | NO2 | | |
| D | YES1 | YES1 | MALE 1 | roster) | | | | | | (►Q11) | | |
| | NO2 | (►Q41) | FEMALE2 | | | | | | | | | |
| | (►Q22) | NO2 | | | | | | | | | | |
| | | | | YES, THE SAME1 | | | | | | | | |
| | | | | (►Q5) | | | | | | | | |
| | | | | NO, | | | | | | | | |
| | | | | DIFFERENT2 | | | | | NUMBER OF | | | |
| | | | | | DAY | MONTH | YEAR | | MONTHS | | | |
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Form ESS-H (13/14)

| 9. | 10. | 11. | 12. | 13. | 14. | 15. |
|------------------------|-----------------------|-------------------------------|---------------------------|------------------------------|-------------------|---------------------|
| Does [NAME]'s | WRITE ID CODE OF | In what region were you born? | Does [NAME]'s | RECORD ROSTER ID OF [NAME]'S | Is [NAME]'s | What is/was |
| spouse/partner live in | CURRENT SPOUSE (OR | | biological father live in | | biological father | [NAME]'s |
| this household now? | FIRST WIFE) WHO LIVES | | this household? | | alive? | biological father's |
| | IN THE HOUSEHOLD. | TIGRAY1 | | | | highest |
| ASK ABOUT FIRST WIFE | IN THE HOOSEHOLD. | AFAR2 | | | | educational level |
| FOR RESPONDENT WITH | | AMHARA | | | | completed? |
| MULTIPLE WIVES | | SOMALIE5 | | | | completeu: |
| IVIOLITPLE WIVES | | BENSHAGUL GUMUZ6 SNNP7 | | | | (USE ATTACHED |
| | | GAMBELLA12 | | | | EDUCATION |
| | | HARARI | | | | CODES) |
| | | ADDIS ABABA14 DIREDAWA15 | | | | CODES) |
| | | OUTSIDE OF ETHIOPIA | | | | |
| | | (SPECIFY)16 | | | | |
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| YES1 | | | YES1 | | YES1 | |
| NO2 (▶Q11) | COPY SPOUSE ID | | NO2 (▶Q14) | | NO2 | |
| (PQII) | FROM ROSTER | | (FQ14) | COPY ID FROM ROSTER (► Q15) | | |
| | THOWINGSTER | | | COPT ID PROIVI ROSTER (Q15) | | |
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| 16. | 17. | 18. | 19. | 20. | 21. |
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| | RECORD ROSTER ID OF [NAME]'S | Is [NAME]'s | What is/was [NAME]'s | What is/was [NAME]'s biological father's main industry | |
| mother live in this | BIOLOGICAL MOTHER. | - | biological mother's | of occupation? | mother's main industry of |
| household? | | alive? | highest educational level | | occupation? |
| | | | completed? | | |
| | | | (1105 155 1015 | | |
| | | | (USE ATTACHED EDUCATION CODES) | | |
| | | | EDUCATION CODES) | AGRICULTURE1 | AGRICULTURE1 |
| | | | | MINING2 MANUFACTURING3 | MINING2 MANUFACTURING3 |
| | | | | PROFESSIONAL/SCIENTIFIC/ TECHNICAL ACTIVITIES4 | PROFESSIONAL/SCIENTIFIC/ TECHNICAL ACTIVITIES4 |
| | | | | ELECTRICITY5 CONSTRUCTION6 | ELECTRICITY5 CONSTRUCTION6 |
| | | | | TRANSPORTATION7 | TRANSPORTATION7 |
| | | | | BUYING AND SELLING8 FINANCIAL SERVICES9 | BUYING AND SELLING8 FINANCIAL SERVICES9 |
| | | | | PERSONAL SERVICES10 EDUCATION11 | PERSONAL SERVICES10 EDUCATION11 |
| | | | | HEALTH12 | HEALTH12 |
| | | | | PUBLIC ADMINISTRATION13 OTHER (SPECIFY)14 | PUBLIC ADMINISTRATION13 OTHER (SPECIFY)14 |
| YES1 NO2 | | YES1 NO2 | | | |
| (►Q18) | | | | | |
| | COPY ID FROM ROSTER (►Q19) | | | | ► NEXT PERSON |
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| 22. | 23. | | 24. | 25. | 26. | 27. | 28. | 29. |
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| Why did [NAME] leave this household? | In which month an | d year did | Does [NAME] reside | Which region does [NAME] | Does [NAME] | What type of urban | What country does | How many |
| | [NAME] leave this I | nousehold? | in Ethiopia or outside | reside in at present? | currently reside in | location does [NAME] | [NAME] reside in at | months has |
| | | | Ethiopia now? | | an urban or in a | currently reside in? | present? | [NAME] been |
| DIVORCE/SEPARATION | CODES FC SEPTEMBE OCTOBER. NOVEMBER DECEMBER JANUARY, FEBRUARY MARCH. APRIL. MAY. JUNE. JUNE. AUGUST. PAGUME. | 2 3 4 5 6 7 8 9 10 | INSIDE ETHIOPIA1 OUTSIDE ETHIOPIA2 (> Q28) | TIGRAY | rural location? RURAL1 (>Q29) | WOREDA TOWN1 ZONAL TOWN2 REGIONAL TOWN3 OTHER URBAN4 | DJIBOUTI 1 SOMALIA 2 SUDAN 3 SOUTH SUDAN 4 ERITREA 5 KENYA 6 LEBANON (BEIRUT, ETC.) 7 UAE (DUBAI, ETC.) 8 YEMEN 9 SAUDI ARABIA 10 BAHRAIN 11 KUWAIT 12 EGYPT 13 OTHER ARAB COUNTRIES 14 OTHER ARAB COUNTRY 15 AMERICA (U.S.) 16 CANADA 17 ENGLAND | away? |
| | | | | | URBAN2 | | (LONDON, ETC.)18 OTHER EUROPEAN COUNTRY19 | |
| | MONTH | YEAR | | | | ►(Q29) | OTHER COUNTRY (SPECIFY) 20 | NO. OF MONTHS |
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| 30. | 31. | 32. | | 33. | 34. | 35. | 36. | 37. |
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| What was the most important reason [NAME] migrated away? TO WORK, LOOK FOR WORK. 1 TO MARRY 2 TO STUDY. 3 TO FIND BETTER OR MORE LAND. 4 HEALTH. 5 MOVED TO LIVE WITH FAMILY. 6 FOR SECURITY. 7 OTHER (SPECIFY). 8 | Has [NAME] found work or started work? YES1 NO2 DON'T KNOW3 (>Q34) | working in or of [NAME's] own business? AGRICULTURE | | Who provided information on where to go and/or how to find work during [NAME's] move? (MAIN SOURCE) FAMILY1 FRIENDS | [NAME] mainly obtain money in order to migrate for this move? (MAIN SOURCE) FAMILY1 FRIENDS2 NEIGHBORS3 EMPLOYERS4 GOVERMENT5 | While travelling or at the final destination did anyone else help [NAME]? YES1 NO2 DON'T KNOW3 | Who helped [NAME]? FAMILY | |
| | | WRITTEN DESCRIPTION | OCCUP. CODE | | SELF9 OTHER (SPECIFY)10 | (SECIFI)/ | | |
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SECTION 2- EDUCATION

FOR CHILDREN 5-10 YEARS, ASK THEIR CAREGIVER THE QUESTIONS.

| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. |
|---|--------------|----------------|---|----------------------------------|----------------|---|--|
| MARK 'X' IF | Can [NAME] | Has [NAME] | What was the main reason [NAME] never | What is the highest grade [NAME] | Is [NAME] | Why is [NAME] not | Which grade is [NAME] attending? |
| MEMBER IS 5 | read and | ever attended | attended school? | completed? | currently | currently in school? | |
| YEARS OR | write in any | school? | | · · | attending | ' | |
| OLDER | language? | 50110011 | | | school? | HAD ENOUGH | |
| ONLY ASK QUESTIONS IF MEMBER IS 5 YEARS AND OLDER | languager | | WORKING (JOB) | USE ATTACHED EDUCATION CODES | SCHOOLE | HAD ENOUGH SCHOOLING 1 AWAITING ADMISSION 2 NO SCHOOL/LACK OF TEACHERS 3 NO TIME/NO 4 LACK OF MONEY 5 MOSHOOL/LACK OF JESSEL 7 DISLIGATION 6 SCICKNESS 7 DISABILITY 8 SEPARATION OF PARENTS 9 DEATH OF PARENTS 9 DEATH OF DISCHESS 17 DOMESTIC 11 DOMESTIC 12 OTHERS (SPECIFY) 13 | USE EDUCATION CODES ON OPPOSITE PAGE |
| | YES1 NO2 | YES1 (▶Q5) NO2 | TGO YOUNG 14 TOO OLD 15 OTHER (SPECIFY) | | YES1 (▶Q8) NO2 | | |
| | | | (►Q18) | | | | |
| | | | | LEVEL | | (▶ Q18) | GRADE |
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| 9. | 10. | 11. | 12. | 13. | 14. | 15. | 16. | 17. | 18. |
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| What kind of organization runs | Was [NAME] | What is the main reason | By what means does [NAME] | How much time does | | For the current | For the current | During the past 12 | Does [NAME] plan |
| the school that [NAME] is | absent from | for being absent from | mainly go to school? | it take [NAME] to get | receive any | school year, what | school year, what | months, what did the | to attend school |
| attending? | school last | school? | | to school? (in | scholarship or | is the value of this | did the household | household spend on | next year? |
| | semester for | | | minutes) | assistance to | assistance, | spend on [NAME]'s | [NAME]'s school | |
| | more than a | | FOOT 1 | | attend school | including the value | school fees | books, uniforms, | |
| GOVERNMENT1 MISSION/RELIGIOUS WITH | week | SICK1 | FOOT1 BICYCLE2 MOTORCYCLE3 | | from any | of in-kind | | stationary etc for | |
| FEE2 | continuously? | DEATH IN THE FAMILY2 | PRIVATE CAR4 | | organization or | assistance and | ENTER 0 IF | school? | |
| MISSION/RELIGIOUS FREE OF CHARGE | | HAD TO WORK3 OTHER | BUS | 31 = 453 | the government | cash? | NOTHING WAS | | |
| PRIVATE4 COMMUNITY5 | | (SPECIFY)4 | (HORSE/MULE/ | 64 00 5 | or any individual | | SPENT | ENTER 0 IF NOTHING | |
| INTERNATIONAL | | | CAMEL/DONKEY)7 BAJAJ8 OTHER(SPECIFY)9 | 91 - 1206 | other than a | | | WAS SPENT | |
| COMMUNITY6 OTHER (SPECIFY) | | | OTHER(SPECIFY)9 | | household | | | | |
| 7 | | | | | member? | | | | |
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| | YES1 | | | | VEG 1 | | | | YES1 |
| | NO2 | | | | YES1 NO2 | | | | NO2 |
| | (►Q12) | | | | (►Q16) | | | | |
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SECTION 3: HEALTH

FOR CHILDREN 10 YEARS AND YOUNGER, ASK THEIR CAREGIVER THE QUESTIONS.

| | SECTION 3: HEA | LTH | FOR CHILDREN 1 | LO YEARS AND YOUNG | SER, ASK THEIR CARE | GIVER THE QUESTIONS. | | | | | |
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| | 1. | 2. | | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. |
| | Has [NAME] | What was the sickness | / injury | For how many | Has [NAME] | Where did [NAME] receive or | What was the main reason for | Has [NAME] | How many | Has [NAME] | Has [NAME] or |
| | faced any health | [NAME] faced? | | days was [NAME] | | consult medical assistance | [NAME] not to consult health | , | times has | been sick for at | |
| L | problem during | | | absent from usual | | primarily? | institutions/ traditional healer during | | [NAME] | least 3 | received any |
| N | the last 2 | LIST UP TO 2 ILLNESSES | S | activity due to | consulted from | | the last two months? | | consulted | consecutive | assistance free |
| D | months? | | | the health | health | | | health institutions | | months during | of charge for the |
| I۲ | | 1073073 | | problem during | institutions or | HOSPITAL1 | | or traditional | assistance or | the last 12 | long-term |
| Ľ | | MALARIA DIARRHEA | | the last 2 | traditional | HEALTH CENTER2 HEALTH POST3 | LACK OF MONEY1 EXPENSIVE2 | healers during the | | months? | illness? |
| ٧ | | INJURY DENTAL | | months? | healers during | CLINICS4 | TOO FAR3 | | from health | (Excluding | |
| l ' | | OPTHALMIC | | | the last 2 | PHARMACY5 TRADITIONAL | DO NOT BELIEVE IN MEDICINE4 | | institutions | accident) | |
| D | | SKIN DISEASE | | | months? (Regardless of | HEALER6 | LACK OF HEALTH | | or traditional healers | | |
| U | | EAR/NOSE/THROAT | | | whether sick or | RELIGIOUS/ SPIRITUAL7 | PROFESSIONAL5 POOR QUALITY/ | * | during the | | |
| Α | | (ENT) TUBERCULOSIS | | | not) | OTHER (SPECIFY)8 | SERVICE6 | | last 12 | | |
| L | | TOBERCOLOSIS | | | liotj | | DID NOT REQUIRE MEDICAL ASSISTANCE.7 | | months? | | |
| | YES1 | | | | | | OTHER | YES1 | months: | YES1 | YES1 |
| L | NO2 | | | | YES1 | | (SPECIFY)8 | NO2 | | NO2 | NO2 |
| D | (▶Q4) | | | | NO2 | | | (►Q9) | | (▶Q12a) | (►Q12a) |
| ľ | | | | | (▶Q6) | | | | | | |
| | | | l | | | | | | | | |
| | | | | NUMBER OF | | (►Q7) | | | | | |
| | | ILLNESS #1 | ILLNESS #2 | DAYS | | | | | NUMBER | | |
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| 12 | | | | | | | | | | | |

Form ESS-H (13/14)

| Does [NAME] get the assistance free of charge from the following sources? STHIS MARIE AGE (DOES (NAME) Does (| 11. | | | | | | 12a. | 12. 13. 14. 15. 16. | | | 16. | 17. | |
|--|----------------|------------------|------|--------------------------|------------|--------|---|---|--|---|---|--|---|
| Government Institution NGO HIV/AIDS related Association Providers Others Social/ Traditional/ religious Others YES - SOME DIFFICULTY 1 NO DIFFICULTY 1 YES - SOME DIFFICULTY 2 YES - A LOT OF DIFFICULTY 3 | Does [NAME] ge | t the assistance | YES1 | om the followin | g sources? | | MEMBER AGE 0-5 YEARS OLD? YES 1 (> Q20) | have difficulty seeing, even if wearing | have difficulty hearing, even if wearing a | have difficulty walking or climbing | have difficulty remembering or concentrating? | have difficulty (with self care such as) washing all over or dressing, feeding, | usual language, does [NAME] have difficulty communicating; for example understanding or being |
| | | NGO | | Community Association | religious | Others | | | NO DI YES - YES - | FFICULTY SOME DIFFICU A LOT OF DIF | LTY | 1 | |
| | | | | | | | | | | | | | |
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| 18. | 19. | 20. | 20a. | 20b. | 20c. | 20d. | 20e. |
|---|--|---|-------------------------|--|---|--|--|
| ENUMERATOR: CHECK QUESTIONS 12 TO 17. DID THE RESPONDENT HAVE ANY DIFFICULTY? | Does this difficulty reduce the amount of work [NAME] can do at home, at work or at school? | IS THIS MEMBER AGES 6-83 MONTHS (LESS THAN 7 YEARS OLD & 6 MONTHS AND ABOVE)? | | How much water was [NAME] offered to drink during the diarrhea? | Was [NAME] given any of the following to drink: | Was [NAME] ever breastfed? | Since the time of birth, for how many months was [NAME] breastfed? |
| YES1 NO2 | YES, ALL THE TIME.1 YES, SOMETIMES2 NO3 NA (1F NOT WORKING OR ATTENDING | YES1 NO2 NEXT HH MEMBER | YES1 NO2 (▶Q 20d) | LESS THAN USUAL1 THE SAME AS USUAL2 MORE THAN USUAL3 NOTHING TO DRINK4 | YES1 NO2 | IBS, STILL BREASTEEDING. 1 (\$\Phi\{2016\}\) YES, BUT NO LONGER. 2 NO. 3 (\$\Phi\{21\}\) | |
| | | | | | ORAL FLUID REHYDRATION RECOMMENDE SALTS (ORS) D BY HEALTH | - | MONTHS |
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| 20f. | | | 21b | 22. | 23. | 24. | |
|--|---|-------------------------------|--------------------|---|--|--|--|
| Since the time of birth, for how many months was [NAME] exclusively breastfed (without food, water, herbal tea, or any other liquid, except vitamin A, medicine, or ORS)? IF [NAME] HAS NOT YET RECEIVED COMPLEMENTARY FOOD OR LIQUID, RECORD "99" | [NAME] born? CHECK THAT AGE IN ROSTER AND YEAR OHERE ARE CONSISTEN | QUESTION F BIRTH RE NT. | 4 OF THE POTRED | ENUMERATOR: WHAT IS THE SOURCE OF DATE OF BIRTH INFORMATION GIVEN IN Q.21? B irth Certificate1 Vaccination card2 Other documents3 Parent4 Other (Specify)5 | WEIGHT IN KILOGRAMS (KGS)(Include decimals) | LENGTH OR HEIGHT (IN CENTIMETERS) CHILD UNDER 2 YRS OLD MEASURE LENGTH (LYING DOWN) CHILD AGE 2 OR MORE YRS MEASURE HEIGHT (STANDING UP) | MEASURED1 NOTERESENT2 SICK OR INJURED3 REFUSED4 OTHER (SPECIFY)5 |
| MONTHS | 2 DIGIT | 2 DIGIT | 4 DIGIT YEAR EC | SOURCE | KILOGRAM | | |
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| | SECTION 4: TIME USE | AND LABOR | FOR CHILDREN 7-1 | D YEARS, ASK THEIR CA | AREGIVER THE QUEST | ESTIONS. | | | | | | |
|------------------|-------------------------------------|---|------------------|---|--------------------|--|--|---|---------------------|--------------|--|--|
| 1 L | 1. | 2. | | 3. | | 4. | 5. | 6. | 7. | 8. | | |
| I N D I | MEMBER IS 7 YEARS OR OLDER ONLY ASK | How many hours and minutes did [NAME] spend yesterday collecting water? NOTE: HOURS AND MINUTES SPENT YESTERDAY RECORD ZERO IF NO PARTICIPATION | | [NAME] spend yesterday collecting firewood (or other fuel materials)? NOTE: HOURS AND MINUTES SPENT YESTERDAY RECORD ZERO IF NO PARTICIPATION | | How many hours in the last seven days did [NAME] spend on household agricultural activities (including livestock and fishing-related activities) whether for sale or for household use? NOTE: HOURS SPENT IN LAST 7 DAYS RECORD ZERO IF NO PARTICIPATION | How many hours in the last seven days did [NAME] run or help with any kind of non-agricultural or non-fishing household business, big or small, for his or herself or for the household?(Exclude domestic work) RECORD ZERO IF NO PARTICIPATION | How many hours in the last seven days did [NAME] engage in casual, part-time, or temporary labour? RECORD ZERO IF NO PARTICIPATION | the last seven days | in an unpaid | | |
| | | HOURS | MINUTES | HOURS | MINUTES | HOURS | HOURS | HOURS | HOURS | HOURS | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
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| 10 | | | | | | | | | | | | |
| 11 | | | | | | | | | | | | |
| 12 | | | | | | | | | | | | |

| MAIN JOB OVER THE | LAST 12 MONTHS | | | | | | | | | | |
|--|--|-------------|---|---|---|---|--|---|---------|--|-----------------------------|
| 9. | 10. | | 11. | | 12. | 14. | 15. | 16. | 17. | 18. | 19. |
| At any time over the last 12 months, was [NAME] employed in any kind of job, including part-time labour, for wage, salary, commission or any payment in kind, for anyone who is not a member of the household? EXCLUDE TEMPORARY WORK | Describe [NAME]'s main job over the last 12 m | onths. | Describe what kind of trade or business [NAME] last 12 months is connected with. (describe the service) | PRIVATE COMPANY1 PRIVATEINVOIVIDUAL.2 GOVERNMENT3 STATE-OWNED BAND4 PROGRAM5 CHURCH/RELIGIOUS ORGANIZATION6 POLITICAL PARY7 | During these months, approximately on average how many weeks per month did (NAME) work at this job? | During these weeks, approximately on average how many hours per week did [NAME] work at this job? | How much was [NAME] 's last payment for wages/salary? | TIME UNIT HOUR. 1 DAY 2 NEEK. 3 POUNTHER of A NOMIN 6 1/2 YEAR. 7 YEAR. 8 | such as | Over what period of time is [NAME] reporting allowances and gratuity payments? FIME UNIT HORR. 1 DAY. 2 WEEK. 3 PORTNIGHT. 4 MONTH. 5 | |
| YES1 NO2 (▶Q31) | WRITTEN DESCRIPTION | OCCUP. CODE | WRITTEN DESCRIPTION | INDUSTRY CODE | OTHER (SPECIFY)8 | NUMBER OF WEEKS / MONTH | NUMBER OF HOURS / WEEK | BIRR | | BIRR | YEAR6 1/2 YEAR7 YEAR8 |
| | | | | | | | | | | | |
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| 20. | 21. | | 22. | | 23. | 24. | 25. | 26. |
|------------------------------|---|----------------|--|---------------|--|---|--|--|
| | Describe [NAME]'s secondary job ov months. | er the last 12 | Describe what kind of trade or business secondary job over the last 12 months is | | Is [NAME]'s employer for this secondary job over the last 12 months READ RESPONSES | months over the last 12 months did [NAME] | During these months, approximately how many weeks per month did [NAME] work at this job? | During the weeks, approxim how man per week [NAME] w this job? |
| EXCLUDE TEMPORARY WORK YES1 | | | | Γ | PRIVATE COMPANY .1 PRIVATE INVOLVIDUAL 2 GOVERNMENT . STATE-OWNED ENTERPRISE (PARASTATAL) .4 PUBLIC WORKS PROGRAM5 CHURCH/RELIGIOUS ORGANIZATION6 POLITICAL PARTY7 OTHER (SPECIFY) 8 | | | |
| NO2 (▶Q31) | WRITTEN DESCRIPTION | OCCUP. CODE | WRITTEN DESCRIPTION | INDUSTRY CODE | | NUMBER OF | NUMBER OF | NUME |
| | | | | | | MONTHS | WEEKS / MONTH | HOUR |
| | | | | | | | | |
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| | | | | PSNP LABOUR | | | OTHER TEMPORARY | //CASUAL LABO | JR | UNPAID LABOUR OVER | THE LAST 12 MONTHS | | |
|---|--|---|--|--------------------------------|---|--|---|--|--|---|--|--|--|
| | 28. | 29. | 30. | | 32. | 33. | 34. | 35. | 36. | 37. | 38. | | |
| [NAME]'s last payment for wages/salary? | What period of time did this last payment cover? TIME UNIT HOUR. 1 DAY. 2 WEEK. 3 FORTNIGHT. 4 BOOTH. 5 DORNINGHT. 5 DORNINGHT. 4 BOOTH. 5 DORNINGHT. 5 DORNINGH | usually receive in allowances or gratuities, including in-kind payments such as uniform, housing, food, and transport, that | [NAME] reporting these allowances and gratuity payments? TIME UNLT MOOR | has [NAME] been employed as | [NAME] work for the PSNP program in the last 12 months? | How much income did [NAME] get for those days worked? NOTE: REPORT TOTAL INCOME(CASH AND INKIND) OVER LAST 12 MONTHS FROM PSNP. | Did [NAME] do any other casual/temporary labour work in the past 12 months? | For how many days did [NAME] do this work in the last 12 months? | How much income did [NAME] get for those days worked in total? | At any time over the last 12 months, did [NaME] work for other households, free of charge, as exchange labourer or to assist for nothing in return? | Over the last 12 months, for how many households in total did [NAME] work as exchange labourer or to assist for nothing in return? | | |
| | | | QUARTER6 1/2 YEAR7 | NO2 (▶Q34) | | | (►Q37) | | | NO2 | NUMBER | | |
| BIRR | | | YEAR8 | (F Q34) | | | | | | ► NEXT HH MEMBER | OF HHs | | |
| | | BIRR | | | DAYS | BIRR | | DAYS | BIRR | | IN TOTAL | | |
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SECTION 5A: FOOD LAST 7 DAYS

| | 1. | 2. | | 3. | | 4. | 5. | | 6. | | |
|------------------|--|--------------------------|--|---|---|----|-----------------------------------|--|---|--|--|
| F O O D | Over the past one week (7 days), did you or others in your household consume any [ITEM]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND THAT EATEN SEPARATELY BY INDIVIDUAL | household consume in the | | How much came from purchases? IF NONE RECORD 0. | • | | own production? IF NONE RECORD 0. | | How much came from gifts and other sources? IF NONE RECORD 0. | | |
| I D | HOUSEHOLD MEMBERS. YES1 NO2 ► NEXT ITEM | | | QUANTITY | | | | | | | |
| | CEREALS | | | | | | | | | | CODES FOR UNIT: |
| 1 | Teff | | | | | | | | | | Gram 1 |
| | Wheat | | | | | | | | | | Cubic Centimeter 3 Number 4 |
| | Barley | | | | | | | | | | Pair 6 Box 7 Roll 11 |
| | Maize | | | | | | | | | | Roll 11 Pack 12 Tuba 14 |
| | Sorghum | | | | | | | | | | Araba 16 Kg 20 |
| | Millet | | | | | | | | | | Cup 31 Liter 32 Other (Specify) 33 |
| | PULSES | | | | | | | | | | Other (Specify) 33 |
| 7 | Horsebeans | | | | | | | | | | |
| 8 | Chick pea | | | | | | | | | | |
| 9 | Field pea | | | | | | | | | | |
| 10 | Lentils | | | | | | | | | | |
| 11 | Haricot beans | | | | | | | | | | |
| | OIL SEEDS | | | | | | | | | | |
| 12 | Niger seed | | | | | | | | | | |
| 13 | Linseed | | | | | | | | | | |

| F O O D | 1. Over the past one week (7 days), did you or others in your household consume any [ITEM]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND THAT EATEN SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS. YES1 | past week? | | 3. How much came from purchases? IF NONE RECORD 0. | m UNIT | 4. How much did you spend? BIRR | 5. How much came own production? IF NONE RECORE | from | 6. How much ca gifts and other sources? IF NONE REC | er | |
|---------|---|------------|------|--|-----------|---------------------------------|--|------|---|------|--------------------------------|
| | NO2 ► NEXT ITEM VEGETABLES & FRUITS | QUANTITY | UNII | QUANTITY | UNIT | DINN | QUANTITY | ONIT | QUANTITY | ONII | |
| 14 | Onion | | | | | | | | | | |
| | Banana | | | | | | | | | | |
| 13 | TUBERS & STEMS | | | | | | | | | | |
| 16 | Potato | | | | | | | | | | CODES FOR UNIT: |
| 17a | Kocho | | | | | | | | | | CODES FOR UNIT: |
| 17b | Bula | | | | | | | | | | Gram 1 Cubic Centimeter 3 |
| | OTHERS | - | 1 | | 1 | | * | | | | Number 4 Pair 6 |
| 18 | Meat | | | | | | | | | | Box 7 Roll 11 Pack 12 |
| 19 | Milk | | | | | | | | | | Tuba 14 Araba 16 |
| 20 | Cheese | | | | | | | | | | Kg 20 Cup 31 |
| 21 | Eggs | | | | | | | | | | Liter 32 Other (Specify) 33 |
| | Sugar | | | | | | | | | | |
| 23 | Salt | | | | | | | | | | |
| | STIMULANTS | | | | | | | | | | |
| 24 | Coffee | | | | | | | | | | |
| 25 | Chat/Kat | | | | | | | | | | |

SECTION 5B: FOOD AGGREGATE

| 520.10 | ON 5B: FOOD AGGREGATE | 1. | 2. |
|--------|--|--|---|
| | | In the past one week (7 days), did you or anyone in your household consume any [ITEM]? YES1 NO2 ► NEXT ITEM | Over the past one week (7 days), how many <u>days</u> did you or others in your household consume any [ITEM]? |
| code | ITEM | | NOWBER OF DATS |
| 1 | Enjera (teff) | | |
| 2 | Other cereal (rice, sorghum, millet, wheat bread, etc) | | |
| 3 | Potatoes | | |
| 4 | Pasta, Macaroni and Biscuits | | |
| 5 | Sugar or sugar products (honey, jam) | | |
| 6 | Beans, lentils, nuts | | |
| 7 | Vegetables (including relish and leaves) | | |
| 8 | Fruits | | |
| 9 | Beef, sheep, goat, or other red meat and pork | | |
| 10 | Poultry | | |
| 11 | Eggs | | |
| 12 | Fish | | |
| 13 | Oils/fats/butter | | |
| 14 | Milk/yogurt/cheese/other dairy(Exclude butter) | | |
| 15 | Other condiments (Spice, Salt, Pepper, etc) | | |
| 16 | Kocho/Bula | | |

| 3. | | | | | | | 6. | 7. |
|----------|--|---|---|---|--|------------|-------------------------------|---|
| ho ho | er the past one week (7 days) usehold members [READ LIST usehold? ES1 D2 (►Q6) | | | | | | of this household consume any | How much did you or other household members pay, in total in the last 7 days for [MEAL/DRINK]? If free, please estimate what it would have cost if you |
| | | | | | | | YES1 NO2 ▶ NEXT ITEM | had to pay. |
| | | 4. | 5. | | MEAL | | | BIRR |
| FO | R Q4-5: | What was the table |) | Α | Full meals (e.g. Enjera made of | Breakfast | | |
| | NOT CHARED DECORD ZEDO | What was the total number of days in which any meal was shared with people | What was the total number of meals that | 1 | teff/millet/barley with any type of stew, kocho/kocho with meat, rice with sauce, | Lunch | | |
| | | | were shared over past 7 days with []? | С | etc.) | Dinner | | |
| | | []? | | D | Snacks such as Kolo, bread, biscuits, cakes, | etc. | | |
| | | NUMBER OF DAVE | NUMBER OF MEALS | E | Dairy based beverages such as milk, yoghurt | etc. | | |
| | | NUMBER OF DAYS | NUMBER OF MEALS | F | Vegetables and roasted or boiled items such potatoes, boiled/roasted corn, sugar cane of | | | |
| Α | Children 0-5 years | | | G | Non alcoholic drinks (coffee, tea, fruit juice, | soda etc.) | | |
| В | Children 6-15 years | | | Н | Alcoholic drinks (local beer,Beer,Areqe etc) | | | |
| С | Adults 16-65 years | | | | | | | |
| D | People over 65 years old | | | | | | | |

SECTION 6: NON-FOOD EXPENDITURE

LAST ONE MONTH

| | 1. | | 2. |
|------------------|---|------------------------|---|
| I T E M | Over the past <u>one month</u> , did your household purchase or pay for any [ITEM]? | | How much did your household pay in total? |
| C O D | | YES1 NO2 ►NEXT ITEM | BIRR |
| 1 | Matches | | |
| 2 | Batteries | | |
| 3 | Candles (tua'af), incense | | |
| 4 | Laundry soap/OMO/endod/besana leaves | | |
| 5 | Hand/body soap | | |
| 6 | Other personal care goods (incl.sendel,matent,) | | |
| 7 | Charcoal | | |
| 8 | Firewood | | |
| 9 | Kerosene | | |
| 10 | Cigarettes, tobacco, suret, gaya | | |
| 11 | Transport | | |
| 12 | House rent | | |

LAST 12 MONTHS

| | 3. | | 4. |
|------------------|---|-----------------------|---|
| I T E M | Over the past 12 months, did your household purchase or pay for any [ITEM]? | | How much did your household pay in total? |
| C O D | | YES1 NO2 ►NEXTITEM | BIRR |
| 1 | Clothes/shoes/fabric for MEN (18 years and older) | | |
| 2 | Clothes/shoes/fabric for WOMEN (18 years and older) | | |
| 3 | Clothes/shoes/fabric for BOYS (less than 18 years) | | |
| 4 | Clothes/shoes/fabric for GIRLS (less than 18 years) | | |
| 5 | Kitchen equipment (cooking pots, etc.) | | |
| 6 | Linens (sheets, towels,blankets) | | |
| 7 | Furniture | | |
| 8 | Lamp/torch | | |
| 9 | Ceremonial expenses | | |
| 10 | Contributions to IDDIR | | |
| 11 | Donations to the churches and mosques | | |
| 12 | Taxes and levies | | |

SECTION 7:HOUSEHOLD FOOD SECURITY Form ESS-H (13/14)

| 1. | 2. | | | | | | | | 3. | |
|---------------|--------------|---------------|--|-----------------------|----------------------|-------------------|---------------------|-------------------|-----------------|-------------------|
| days, did you | · | days, how mar | How many meals, including breakfa are taken on average per day in you household? | | | | | | | |
| household | А | В | С | D | Е | F | G | Н | | |
| would not | | | | | | | | | A. | B. |
| have enough | | | | | | | | | 5 yrs and above | Children |
| food? | | | | | | Borrow food, or | | | | (6-59 months) |
| YES1 | Rely on less | Limit the | Limit portion | | Restrict consumption | rely on help from | Have no food of any | Go a whole day | | LEAVE BLANK IF NO |
| NO2 | preferred | variety of | size at meal- | Reduce number of | by adults for small | a friend or | kind in your house- | and night without | | CHILDREN |
| | foods? | foods eaten? | times? | meals eaten in a day? | children to eat? | relative? | hold? | eating anything? | | CHILDREN |
| | DAYS | DAYS | DAYS | DAYS | DAYS | DAYS | DAYS | DAYS | NUMBER | NUMBER |
| | | | | | | | | | | |

| 4. | 5. | | | 6. | 7. | | | | | | | | | | | | 8. | | |
|---------------|----------------|----------------|---------------|-----------------------|----------|--------|---------|---------|-------|--------|---------|---------|----------|------------|-------|-----|------------|------------|---------|
| Do all | Who in the ho | ousehold usua | lly eats a | In the last 12 | In whicl | n mont | hs of t | he last | 12 m | onths | did you | ı exper | rience t | his incide | ent ? | | What was | the cause | of this |
| household | more diverse | variety of foo | ds, a less | months, have you | | | | | | | | | | | | | situation? | | |
| members eat | diverse variet | y of foods? | | been faced with a | MARK X | IN EA | сн соі | LUMN | FOR 2 | 005, 2 | 2006 | | | | | | | | |
| roughly the | | | | situation when you | | | | | | | | | | | | | LIST UP TO | 3 IN ORD | ER OF |
| same diet? | MORE DIVER | SE1 | | did not have | | | | | | | | | | | | | IMPORTA | NCE; USE C | ODES |
| | LESS DIVERSE | 2 | | enough food to | | | | | | | | | | | | | ON THE B | оттом. | |
| | | | | feed the | | | | | | | | | | | | | | | |
| | | | | household? | | | | | | | | | | | | | | | |
| | | | | | | | | | | 2 | 005 (E | C) | | | | | | | |
| YES1 | | | | | | | | | | Feb | Mar | Apr | May | Jun | Jul | Aug | | | |
| (► Q6) NO2 | Α | В | С | YES1 | | | | | | | | | | | | | | | |
| | | | | NO2 ► NEXT SECTION | | | | | | 2 | 006 (E | C) | | | • | • | A. | B. | C. |
| | | | Children | | | | | | | | | | | | | | | | |
| | Men | Women | (6-59 months) | | Sep | Oct | Nov | Dec | Jan | | | | | | | | 1ST | 2ND | 3RD |
| | | | | | | • | • | | | | | | | | | | | | |

CODES FOR Q8

| FOOD IN THE MARKET WAS VERY EXPENSIVE6 |
|--|
| NOT ABLE TO REACH THE MARKET DUE TO HIGH TRANSPORTATION COSTS7 |
| MARKET VERY FAR FROM THE VILLAGE8 |
| NO FOOD IN THE MARKET9 |
| FLOODS/WATER LOGGING/HAILSTORM |
| OTHER, SPECIFY11 |
| |

SECTION 8: SHOCKS FOR THE LAST 12 MONTHS

Form ESS-H (13,

| | Λ | 1. | 2. | | 3. | | | | | 4. | | | 5. | 6. |
|------|-----------------------|-----------------|---------------|-----------------------------------|----------|-----------------|-------------|----------|--------|-------------|---------------|----------|-------------|---------------|
| | | During the last | | 1 | | t of this | [SHOCK], di | d your [|] | | our household | do in | During the | During the |
| | | 12 months, | three most | | | | | | | | this [SHOCK] | | last 12 | last 2 years, |
| | | was your | significant | | READ RES | PONSES | FOR EACH | COLUMN | | regain your | former welfar | e level? | months, how | - |
| | | household | shocks you | | | | | | | | | | many times | times did |
| С | | affected by | experienced - | | | EASE | | | | | 3 ANSWERS B | | | [SHOCK] |
| 0 | | [SHOCK]? | Most Severe | | | EASE NOT CHA | | | | | ANCE. USE CO | DES ON | occur? | occur? |
| D | | | (1), Second | | | | | | | THE RIGHT. | | | | |
| Е | | | Most Severe | | | | | | | | | | | |
| | | | (2), Third | | | | | | | | | | | |
| | | YES1 | Most Severe | | | | | | | | | | | |
| | | NO2 | (3). | | INCOME | ASSETS | FOOD | FOOD | FOOD | 1ST | 2ND | 3RD | | |
| | | ► NEXT SHOCK | | | | | PRODUC. | STOCKS | PURCH. | | | | | |
| | SHOCK | | | | | | | | | | | | | |
| | Death of household | | | | | | | | | | | | | |
| 101 | member (Main bread | | | | | | | | | | | | | |
| | earner) | | | | | | | | | | | | | |
| - | | | | | - | | | | | | | | | |
| | Death of other | | | | | | | | | | | | | |
| 101b | household member | | | | | | | | | | | | | |
| | | | | THE QUESTIONS TO | | | | | | | | | | |
| | | | | THE RIGHT | | | | | | | | | | |
| | Illness of household | | | SHOULD ONLY BE | | | | | | | | | | |
| 102 | member | | | ASKED CONCERNING THE | | | | | | | | | | |
| | | | | THREE MOST | | | | | | | | | | |
| | | | | SEVERE SHOCKS, AS NOTED IN Q2. | | | | | | | | | | |
| | Loss of non-farm jobs | | | | | | | | | | | | | |
| 103 | of household member | | | LEAVE ALL OTHER ROWS | | | | | | | | | | |
| | | | | BLANK. | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| 104 | Drought | | | | | | | | | | | | | |
| 104 | Drought | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| 105 | Flood | | | | | | | | | | | | | |
| 103 | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| 106 | Landslides/ | | | | | | | | | | | | | |
| | Avalanches | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | Heavy rains | | | | | | | | | | | | | |
| 107 | preventing work | | | | | | | | | | | | | |
| | F. STEILER, WORK | | | | | | | | | | | | | |
| | | | | | <u> </u> | <u> </u> | | | | | | l | 1 | l |

| | | | | | | | | _ | | |
|--------|------|------|-----|-----|-----|-----|----|-----|-----|------|
| CODES | | | | | | | | | | |
| RELIED | | | | | | | | | | . 1 |
| RECEIV | ED (| JNC | OND | IT: | ION | IAI | H | ΕI | ıΡ | |
| FROM | | | | | | | | | | |
| RELATI | | | | | | | | ٠. | | . 2 |
| RECEIV | | | | | | | | | | |
| HELP F | | | | | | | | ٠. | | . 3 |
| RECEIV | | | | | | | | | | |
| HELP F | | | | | | | | | | |
| INSTIT | | | | | | | | | | |
| CHANGE | | | | | | | | | | |
| ON LES | | | | | | | | | CIC | ons, |
| REDUCE | | IE I | PRO | POI | RTI | ON | С | R | | |
| NUMBER | | | | | | | | | | |
| MEALS | | | • | | | | | | | |
| HOUSEH | | | | RS | SF | IP | PE | D | | |
| DAYS O | | | | | | | | | | |
| ETC.). | | | | | | | | | | . 5 |
| EMPLOY | | | SEH | OLI | D M | 1EM | BE | RS | 3 | |
| TOOK O | | | | | | | | | | |
| EMPLOY | | | | | | | | | | . 6 |
| ADULT | | | | | | | | | | |
| WERE P | | | | | | | | | | |
| HAD TO | | | | | | ٠. | ٠. | ٠. | | . 7 |
| HOUSEH | | | | | | | | | | |
| MIGRAT | | | | | | | | | | |
| REDUCE | | | | | | | | HE | CAI | LTH |
| AND/OR | | | | | | | | | | |
| OBTAIN | | | | | | | | | | |
| SOLD A | | | | | | | | | | |
| SOLD D | | | | | | | | | | |
| SOLD L | | | | | | | | | | |
| SOLD C | | | | | | | | | | |
| SOLD L | | | | | | | | | | |
| INTENS | | | | | | | | | 1 | L 6 |
| SENT C | | | | | | | | | | |
| ELSEWH | | | | | | | | | | |
| ENGAGE | D I | I SI | PIR | ITU | JAI | E | FF | 'OF | RTS | 3- |
| PRAYER | , SI | ACR1 | ΙFΙ | CES | ŝ, | DI | VI | NE | ΣR | |
| CONSUL | | | | | | | | | | |
| | T DO | AN C | IYI | HI | NG. | | | | 1 | L 9 |
| DID NO | | | | | | | | | | |

| C O D E | 0 | During the last 12 months, was your household affected by [SHOCK]? | 2. Rank the three most significant shocks you experienced - Most Severe (1), Second Most Severe (2), Third Most Severe | | READ RES | | 2 | |] | response to regain your LIST UP TO | our household this [SHOCK] former welfar 3 ANSWERS B ANCE. USE CC | to try to re level? Y ORDER | 5. During the last 12 months, how many times did [SHOCK] occur? | 6. During the last 2 years, how many times did [SHOCK] occur? |
|------------------|---|---|--|---|----------|--------|-----------------|----------------|----------------|--|---|-----------------------------------|---|---|
| | SHOCK | YES1 NO2 ► NEXT SHOCK | (3). | | INCOME | ASSETS | FOOD PRODUC. | FOOD STOCKS | FOOD PURCH. | 1ST | 2ND | 3RD | | |
| 108 | Other crop damage | | | | | | | | | | | | | |
| 109 | Price fall of food items | | | | | | | | | | | | | |
| 110 | Price rise of food items | | | | | | | | | | | | | |
| 111 | Increase in price of inputs (seed, fertilizer) | | | THE QUESTIONS TO THE RIGHT SHOULD ONLY BE ASKED | | | | | | | | | | |
| 112 | Great loss/death of livestock | | | CONCERNING THE THREE MOST SEVERE SHOCKS, AS NOTED IN Q2. | | | | | | | | | | |
| 113 | Fire | | | LEAVE ALL OTHER ROWS | | | | | | | | | | |
| 114 | Theft/Robbery and other violence | | | BLANK. | | | | | | | | | | |
| 115 | Involuntary loss of house/land | | | | | | | | | | | | | |
| 116 | Displacement (due to government development projects) | | | | | | | | | | | | | |
| 117 | Local Unrest/Violence | | | | | | | | | | | | | |
| 118 | Other (Specify) | | | | | | | | | | | | | |

| CODES FOR Q4: |
|--------------------------------|
| RELIED ON OWN SAVINGS1 |
| RECEIVED UNCONDITIONAL HELP |
| FROM |
| RELATIVES/FRIENDS2 |
| RECEIVED UNCONDITIONAL |
| HELP FROM GOVERNMENT3 |
| RECEIVED UNCONDITIONAL |
| HELP FROM NGO/RELIGIOUS |
| INSTITUTION4 |
| CHANGED EATING PATTERNS (RELIE |
| ON LESS PREFERRED FOOD OPTIONS |
| REDUCED THE PROPORTION OR |
| NUMBER OF |
| MEALS PER DAY, OR |
| HOUSEHOLD MEMBERS SKIPPED |
| DAYS OF EATING, |
| ETC.)5 |
| EMPLOYED HOUSEHOLD MEMBERS |
| TOOK ON MORE |
| EMPLOYMENT6 |
| ADULT HOUSEHOLD MEMBERS WHO |
| WERE PREVIOUSLY NOT WORKING |
| HAD TO FIND WORK7 |
| HOUSEHOLD MEMBERS |
| MIGRATED8 |
| REDUCED EXPENDITURES ON HEALTH |
| AND/OR EDUCATION9 |
| OBTAINED CREDIT10 |
| SOLD AGRICULTURAL ASSETS11 |
| SOLD DURABLE ASSETS12 |
| SOLD LAND/BUILDING13 |
| SOLD CROP STOCK14 |
| SOLD LIVESTOCK |
| INTENSIFY FISHING16 |
| SENT CHILDREN TO LIVE |
| ELSEWHERE |
| ENGAGED IN SPIRITUAL EFFORTS- |
| PRAYER, SACRIFICES, DIVINER |
| CONSULTATIONS18 |
| DID NOT DO ANYTHING19 |
| OTHER (SPECIFY)20 |

SECTION 9: HOUSING

| SECTION 9: HO | 0000 | I_ | | T_ | T- | T_ | T- |
|---|--|---|---|---|---|---|--|
| 2. | | 3. | 4. | 5. | 6. | 7. | 8. |
| How long has living in this d | | On what basis does the household occupy the dwelling? | How many rooms (excluding kitchen, toilet and bath room) does the household occupy? | The walls of the main dwelling are predominantly made of what material? | The roof of the main dwelling is predominantly made of what material? | The floor of the main dwelling is predominantly made of what material? | What type of kitchen does the household use? |
| YEARS | MONTHS | WOOD AT WOOD OF STONE C STONE DELOCKS OF STONE DELOCKS OF STONE DELOCKS OF STONE DELOCKS OF STONE C STONE C STONE DELOCKS OF STONE C STONE C STONE C STONE DELOCKS OF STONE C | | WOOD AND MUD | CORRUGATED IRON SHEET | MUD/DUNG | NO KITCHEN |
| . EARS | | | l | | | | |
| 9 | | 10 | 11 | 12 | 13 | 113a | 114 |
| What is the pr | rimary type of oven | What type of toilet facilities does the | What type of bathing facilities does the | What type of solid waste disposal facilities does the household | What is the main source of drinking water | | What is the main source of drinking |
| (Mitad) used f Injera/bread? TRADITION (OVEN) RE TRADITION (NOT REMO | for baking NAL MITAD EMOVABLE1 NAL MITAD OVABLE)2 | What type of tonet facilities does the household use? FLUSH TOILET -PRIVATE . 1 FLUSH TOILET -SHARED . 2 PIT LATRINE PRIVATE - VENTILATED . 3 PIT LATREN SHARED - VENTILATED | What type or bathing rathines does the household have? BATHITUB PRIVATE1 BATHITUB SHARED2 SHOWER PRIVATE3 SHOWER SHARED4 A ROOM RESERVED FOR BATHING (PRIVATE)5 | What type of solid waste disposal facilities does the household use? WASTE DISPOSABLE VESTED DISPOSABL VESTED DISPOSAL ONTAINES | in the rainy season? TAP INSIDE THE HOUSE. 1 PRIVATE TAP IN THE COMPOUND. 2 SHARED TAP IN COMPOUND. 3 | source of water indicated in Q13? (in minutes) LESS THAN 151 16-302 31-453 | water in the dry season? TAP INSIDE THE HOUSE |
| TECHNOLOG PRODUCT). ELECTRIC | ITAD (RURAL | VENTILATED. 5 FIT LATRINS SHARED NOT VENTILATED. 6 BUCKET | A ROOM RESERVED FOR BATHING (SHARED). 6 (NO FIXED PLACE FOR BATHING | BURNING THE WASTE6 COLLECTED BY MUNICIPALITY (FUBLIC DUMP) | COMMUNAL TAP OUTSIDE COMMONNA KIOSKS/KETALLER. 5 PROTECTED WELL / SPRING (ERLYATE) / SPRING (GHARED) / SPRING (SHARED) / SPRING SPRING | 46-60 | COMPOUND |

| 14a. | 15a. | 15. | 16. | 17. | 18. | 19. |
|---|--|--|---|---|---|---|
| | On average, how much does | Does the household have the | Does the household have | Does any member of the household | How many buildings and | What is the main Source of light for the household? |
| to the source of water indicated in Q14? | the household spend on | habit of boiling water before | the habit of purifying | (including the household head) own | dwellings does the household | |
| (in minutes) | water each month? | drinking? | drinking water using | another building dwelling, or house? | own in total, including other | |
| | | | chemicals? | EXCLUDING MAID/GUARDS | houses owned? | ELECTRICITY METER- PRIVATE1 ELECTRICITY METER- SHARED2 |
| | IF NONE, RECORD "0". | | | | | ELECTRICITY FROM GENERATOR3 SOLAR ENERGY4 |
| | | | | | SUM UP ALL HOUSES | BIO -GAS |
| | | | | | OWNED BY HOUSES | LANTERN7 |
| LESS THAN 151 16-302 | | | | | MEMBERS EXCLUDING | LIGHT FROM DRY CELL WITH SWITCH8 |
| 31-453 | | | | | MAID/GUARDS | KEROSENE LIGHT LAMP IMPORTED) 9 LOCAL KEROSENE LAMP (KURAZ) 10 |
| 46-604 61-905 | | YES1 NO2 | YES1 | YES1 | | CANDLE/WAX |
| 91-120 | | NO2 | NO2 | NO2 (▶Q19) | | OTHER (SPECIFY) |
| MORE THAN 120/ | | | | (P Q13) | | |
| | | | | | | |
| | | | | | | |
| | | | | | | IF THE RESPONSE IS CODE 5 TO 13 (▶Q21) |
| | | 1 | | | | IF THE RESPONSE IS CODE 5 TO 13 (F Q21) |
| | BIRR | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| 10h | 19c. | 20 | 20h | 21 | 122. | 123. |
| 19b. What is the source of your electricity | 19c. On average, how much does | 20. How many times did the | 20b. In the last week, how | 21. What is the main source of cooking fuel? | 22. Does any member of your | 23. On average, how much |
| | | | | | | |
| What is the source of your electricity | On average, how much does | How many times did the | In the last week, how | | Does any member of your | On average, how much |
| What is the source of your electricity | On average, how much does the household spend on | How many times did the household faced electric power | In the last week, how many hours were you | | Does any member of your household own a cell phone or | On average, how much does your household |
| What is the source of your electricity supply? | On average, how much does the household spend on | How many times did the household faced electric power failure/interruption at least lasting | In the last week, how many hours were you without electricity | | Does any member of your household own a cell phone or | On average, how much does your household spend on cell |
| What is the source of your electricity supply? GOVERNMENT1 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? | Does any member of your household own a cell phone or | On average, how much does your household spend on cell phone/landline utilization per month? |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD1 PURCHASE FIRE WOOD2 | Does any member of your household own a cell phone or landline phone? | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
| What is the source of your electricity supply? GOVERNMENT1 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD1 FURCHASE FIRE WOOD2 CHARCOOL3 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD1 PURCHASE FIRE WOOD2 CHARCOOL3 CROP RESIDUE / LEAVES4 DUNG/ MANURE5 | Does any member of your household own a cell phone or landline phone? | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD 1 FURCHASE FIRE WOOD 2 CHARCOAL 3 CROP RESIDUE /LEAVES. 4 DUNG/ MANURE 5 SAM DUST 6 KEROSENE 7 BUTANE -GAS 8 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD. 1 FURCHASE FIRE WOOD. 2 CHARCOAL CORP RESIDUE / LEAVES. 4 DUNG/ MANURE. 5 SAM DUST. 6 KEROSENE. 7 BUTANE GAS. 8 ELECTRICITY. 9 SOLAR EMERGY. 10 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricty each month? | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity | What is the main source of cooking fuel? COLLECTING FIRE WOOD. 1 FURCHASE FIRE WOOD. 2 CHARCOAL. 3 CROP RESIDUE / LEAVES. 4 DUNG/ MANURE. 5 SAW DUST. 6 FURCHASE. 8 ELECTRICITY. 9 SOLAR EMERGY. 10 BIO -GAS. 11 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS |
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| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricy each month? IF NONE, RECORD "0". | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity power? | What is the main source of cooking fuel? COLLECTING FIRE WOOD. 1 FURCHASE FIRE WOOD. 2 CHARCOLL DUS / LEAVES 3 COUNCY MANURE 5 SAM DUST 6 KEROSENE 7 BUTANE GAS 8 ELECTRICITY 9 SOLAR EMERGY 10 BTO -GAS 11 NOME 1 12 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS MONTH |
| What is the source of your electricity supply? GOVERNMENT1 PRIVATE GENERATOR2 | On average, how much does the household spend on electricy each month? IF NONE, RECORD "0". | How many times did the household faced electric power failure/interruption at least lasting for one hour during last week? | In the last week, how many hours were you without electricity power? | What is the main source of cooking fuel? COLLECTING FIRE WOOD. 1 FURCHASE FIRE WOOD. 2 CHARCOLL DUS / LEAVES 3 COUNCY MANURE 5 SAM DUST 6 KEROSENE 7 BUTANE GAS 8 ELECTRICITY 9 SOLAR EMERGY 10 BTO -GAS 11 NOME 1 12 | Does any member of your household own a cell phone or landline phone? YES1 NO2 ▶NEXT | On average, how much does your household spend on cell phone/landline utilization per month? NOTE: UNIT OF TIME IS MONTH |

| | ASSE |
|--|------|
| | |

| How many of this [ITEM] does your household own? How many of this [ITEM] does your household own? How many of this [ITEM] does your household own? How many of this [ITEM] does your household own? How many of this [ITEM]? UST UP TO TWO MEMBERS FROM HOUSEN ON TO NEXT ITEM 1 Kerosene stove 1 NUMBER OF ITEMS 1 HH ROSTER ID #1 HH ROSTE | | | 1. | 2. | |
|--|----|--|-----------------|-------------------------|-------------------|
| IST UP TO TWO MEMBERS FROM HOUSEN | | | | Who in the household or | wns the [ITEM]? |
| M C O D ITEM NAME NUMBER OF ITEMS HH ROSTER ID #1 HE ROSTER ID #1 HE ROSTER ID #1 HE ROSTER ID #1 HH ROSTER ID #1 HE R | | | | | RS FROM HOUSEHOLD |
| NUMBER OF ITEMS | | | | KUSTER | |
| NUMBER OF ITEMS | С | | | | |
| 1 | 0 | ITTAA MAAAT | | | |
| 1 Kerosene stove 2 Cylinder gasstove 3 Electric stove 4 Blanket/Gabi 5 Mattress and/or Bed 6 Wrist watch/clock 7 Fixed line telephone 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | HEM NAME | NOMBER OF ITEMS | HH KOZIEK ID #1 | HH ROSTER ID #2 |
| 2 Cylinder gasstove 3 Electric stove 4 Blanket/Gabi 5 Mattress and/or Bed 6 Wrist watch/clock 7 Fixed line telephone 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | L | | | |
| 3 Electric stove | | | | | |
| Blanket/Gabi | | | | | |
| 5 Mattress and/or Bed 6 Wrist watch/clock 7 Fixed line telephone 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 6 Wrist watch/clock 7 Fixed line telephone 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 7 Fixed line telephone 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 8 Mobile Telephone 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 9 Radio/ tape recorder 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 10 Television 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 11 CD/VCD/DVD/Video Deck 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 12 Satelite Dish 13 Sofa set 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
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| 14 Bicycle 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 15 Motor cycle 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 16 Cart (Hand pushed) 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 17 Cart (animal drawn)- for transporting people and goods 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 18 Sewing machine 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | Cart (Hand pushed) | | | |
| 19 Weaving equipment 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | | | | | |
| 20 Mitad-Electric 21 Energy saving stove (lakech, mirt etc) | 18 | | | | |
| 21 Energy saving stove (lakech, mirt etc) | | Weaving equipment | | | |
| | | Mitad-Electric | | | |
| an la f : . | 21 | Energy saving stove (lakech, mirt etc) | | | |
| 22 Kerngerator | 22 | Refrigerator | | | |
| 23 Private car | 23 | Private car | | | |
| 24 Gold (in grams) | 24 | Gold (in grams) | | | |
| 25 Wardrobe | 25 | Wardrobe | | | |
| 26 Shelf for storing goods | 26 | Shelf for storing goods | | | |
| 27 Biogas stove (pit) | 27 | Biogas stove (pit) | | | |
| 28 Water storage pit | 28 | Water storage pit | | | |
| 30 Sickle (Machid) | 30 | Sickle (Machid) | | | |
| 31 Axe (Gejera) | 31 | Axe (Gejera) | | | |
| 32 Pick Axe (Geso) | 32 | Pick Axe (Geso) | | | |
| 33 Plough (Traditional) | 33 | Plough (Traditional) | | | |
| 34 Plough (Modern) | 34 | Plough (Modern) | | | |
| 35 Water Pump | 35 | Water Pump | | | |
| 36 Silver(in grams) | 36 | Silver(in grams) | | | |

SECTION 11A: NON-FARM ENTERPRISE

| Over the past 12 months has anyone in this household | YES1 NO2 | | YES1 NO2 |
|--|-------------|--|-------------|
| 1 owned a non-agricultural business or provided a non-agricultural service from hom or a household-owned shop, as a carwash owner, metal worker, mechanic, carpenter, tailor, barber, etc.? | | 6 driven a household-owned taxi or pick-up truck to provide transportation or moving services? | NO2 |
| 2 processed and sold any agricultural by-products, including flour, local beer (tella), areke", "enjera", seed, etc., but excluding livestock by-products, fresh/processed fish? | | 7 owned hotel, bar or restaurant? | |
| 3 owned a trading business on a street or in a market? | | 8owned any other non-agricultural business, even if it is a small business run from home or on a street? | |
| | | 8a. ENUMERATOR: REFER TO THE COVER SECTION, Q12a, AND COPY THE ANSWER CODE HERE. PANEL (WAVE 1) HOUSEHOLD | |
| 4 offered any service or sold anything on a street or in a market, including firewood, nome-made charcoal, construction timber, woodpoles, traditional medicine, mats, bricks, cane furniture, weave baskets, thatch grass etc.? | | 8b. ENUMERATOR: DID THE HOUSEHOLD HAVE A NON-FARM ENTERPRISE IN WAVE 1? YES1 NO2 | |
| 5 owned a professional office or offered professional services from home as a doctor | , <u> </u> | 9. ENUMERATOR: IS THERE A "1" FOR ANY OF THE QUESTIONS 1 THROUGH 8 and 8b? YES1 NO2 ►Section 11B, Q18 | |

SECTION 11B: NON-FARM ENTERPRISE

ENUMERATOR: REFER TO THE COVER SECTION, Q12a. IF THIS IS A BRAND NEW HOUSEHOLD (CODE=2), YOU MUST ASK THE RESPONDENT FOR ALL HOUSEHOLD ENTERPRISES IN Q1 BELOW. PRE-FILLING Q1 IS FOR PANEL (WAVE 1) HOUSEHOLDS ONLY.

| | 3c. | 3b. | | 3. | 2. | 1c. | 1b. | 1a. | | | | 1. | |
|--|---|--|-----------|------------|--------------------|------------------------------|--------------|---------------|--------------------|-------------|--------------|---|-----|
| FIRST LIST ALL NON-FARM ENTERPRISE, COPIED FROM WAVE 1 HOUSEHOLD QUESTIONNAIRE. THIS INCLUDES BUSINESSES THAT ARE CUBRENTLY TE POPERATING AND AND YNTHAT ARE MONO COSCO. ALL ENTERPRISES SMOULD BE ADDREST TO THE END AND MUST BE GET AT SQUENCE LUSTED IN THE WAVE 1 HIS QUESTIONNAIRE. THE NON-FARM ENTERPRISES SHOULD BE ADDREST TO THE END AND MUST BE GET AT SQUENCE STREET TO THE COVER'S ECTION, 1 PRIMARY SECONDARY CODES. I THE STREET TO THE COVER'S ECTION, 1 PRIMARY SECONDARY CODE CODE. I WINITERN DESCRIPTION OF ACTIVITIES CODE CODE. I THIS IS A PAINE HOUSEHOLD (FROM WAVE 1). CONTINUE ON TO 13a. I THIS IS A PAINE HOUSEHOLD (FROM WAV | Who from the | Is the owner | ns/owned | Who own | Where does this | Why is this enterprise was | Was this | Is this a new | | old operate | this househo | What income generating enterprises did individuals in | |
| FIRST LIST ALL NON-FARM ENTERPRISE, COPIDE FROM WAVE 1 NOUSEHOLD QUESTIONARD, THIS NELLOSE SUBMISSES THAT RECURRENTLY E OPERATING AND ANY THAT ARE NOW CLOSED, ALL ENTERPRISES MUST RECURRENTLY T ANY NEW NON-FARM ENTERPRISES SHOULD BE ADDED TO THE END AND MUST GET A NEW IID. | household is | of this | rprise in | this enter | enterprise operate | not in operation in the last | enterprise | enterprise? | l | | | over the last 12 months? | |
| FIRST LIST ALL NON-FARM ENTERPRISE, COPIDE FROM WAVE 1 NOUSEHOLD QUESTIONARD, THIS NELLOSE SUBMISSES THAT RECURRENTLY E OPERATING AND ANY THAT ARE NOW CLOSED, ALL ENTERPRISES MUST RECURRENTLY T ANY NEW NON-FARM ENTERPRISES SHOULD BE ADDED TO THE END AND MUST GET A NEW IID. | providing the | enterprise | ehold? | the house | primarily? | 12 months? | in operation | | l | | | | |
| Continue | | | | 1 | | | in the last | | l | USEHOLD | WAVE 1 HO | FIRST LIST ALL NON-FARM ENTERPRISE, COPIED FROM | 1 |
| E OPERATING AND ANY THAT ARE NOW CLOSED. ALL ENTREPRISSS MUST BE NO COPED TO THE END AND MUST BE NOW COPED TO THE END AND MUST BE NO | | | 0.2 | LIST UP TO | | | | | i | | | | |
| NO OPPED IN THE EXACT SEQUENCE LISTED IN THE WAVE 1 HH QUESTIONNAIRE. TO ANY NEW NON-FARM INTERPRISES SHOULD BE ADDED TO THE END AND MUST EQUIPMENT OF THE SECONDARY COVER SECTION, Q12- SECTOR CODES SECTOR CO | questions? | | | | | | | | l | | | 1 ' | l - |
| The control of the | questions. | | | | | LOW PROFILE4 | | | i | | | | |
| Continue on to 1 | | questions | OLD | | TRADITIONAL | | | | l | IOIVIVAINE. | I IIII QUESI | COTTED IN THE EXACT SEQUENCE LISTED IN THE WAVE | |
| Coverage | | 1 | | NOSTEN | | | | | l | D AND MILET | TO THE EN | ANY NEW NON EARM ENTERDRISES SHOULD BE ADDE | |
| R P P P P P P P P P | | ł | | | | SECURITY ISSUES7 | | | i | J AND MOST | J TO THE EN | | E |
| REPRITO THE REPRITOR THE | | 1 | | | | OTHER (SPECIFY)) | | | | | | GET A NEW ID. | R |
| REFER TO HIGH CASE SECTION, Q12a No 2 No. | | ł | | | MOBILE | | | | | | | | Р |
| COVER SECTION, 012a, 012a, 012b, 012a, 0 | | ł | | | | | | | | | | | R |
| S SECTOR CODES SECTOR CODES SECTOR CODES SECTOR CODES SECTOR CODES SECTOR CODES SECTOR CODE SCANDARY SECONDARY CODE CODE FTHIS IS A PANEL HOUSEHOLD (FOM WAVE 1), CONTINUE ON TO 1a. Sector Code | | | | | | | | | COVER SECTION, | | | | |
| SECTOR CODES SECTOR CODES SECTOR CODES SECTOR CODE SENT TO Q.2 IF THIS S.A. BRAND NEW HOUSEHOLD (CODE=2). FTHIS IS LAST ENTERPRISE P.Q. 18 F | | | | | OTHER | | | | Q12a. | | | | |
| SECONDARY CODE IF THIS IS LAST ENTERPRISE ▶ Q18 IF THIS LAST ENTERPRISE ▶ Q18 IF THIS IS LAST ENTERPRISE ▶ Q18 IF THIS IS LAST ENTERPRISE | | NO2 | SECOND | EIDST | (SPECIFY)9 | ► NEXT ENTERPRISE | | | SKIP TO Q2 IF THIS | R CODES | SECTO | | |
| PRIMARY SECONDARY CODE CODE CODE IF THIS IS LAST ENTERPRISE ▶ Q18 ID ID | | 1 | | | | | | | IS A BRAND NEW | . 00525 | 520.0. | | E |
| D WRITTEN DESCRIPTION OF ACTIVITIES CODE CODE CODE | ROSTER ID # | 1 | OWNER | OWNER | | IF THIS IS LAST | | | HOUSEHOLD | 1 | | | |
| D | | 1 | ID | ID | | | | | (CODE=2). | SECONDARY | PRIMARY | | - 1 |
| 1 HOUSEHOLD FROM WAVE 1), CONTINUE ON TO 1a. | | 1 | | | | ENTERN RISE P Q10 | | | 1 | CODE | CODE | WRITTEN DESCRIPTION OF ACTIVITIES | D |
| 1 | | | | 1 | | | | | | | | | |
| CONTINUE ON TO 1a. | | ł | | | | | | | | | | | |
| 2 CONTINUE ONTO 13. 4 5 6 7 8 8 | | 1 | | | | | | | HOUSEHOLD | 1 | | | 1 |
| 2 3 4 5 6 7 8 | | L | | | | | | | (FROM WAVE 1), | | | | |
| 3 4 5 6 7 8 | | 1 | | | | | | | CONTINUE ON TO | 1 | | | |
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| | 3d. | | 4. | | 4b. | 4e. | | 4f. | | |
|-----|---------------|------------|---|------------------------|------------------------|---------------------|------------------------|--|---------------------|------------------------|
| | Who in the h | ousehold | What were the two ma | in sources of start-up | In the last 12 months, | Did you eventually | In the last 12 months, | In the last 12 months, | what was the source | In the last 12 months, |
| | makes decisi | ions | capital for this enterpr | ise? | did you try to get | get the credit from | | of credit that has been | | how much have you |
| | regarding the | e earnings | | | credit for this | the banks OR other | to operate this | enterprise? | • | borrowed for this |
| | from this ent | | AGRICULTURAL INCOM | ME1 | enterprise from | formal financial | enterprise ? | circi prise: | | enterprise? |
| | iroin this en | terprise: | NON-FARM SELF-EMP | | | | enterprise : | | | enterprise: |
| | | | INCOME WAGE OR SALARY IN | | banks and other | agencies for this | | | | |
| Е | LIST UP TO 2 | | REMITTANCES | 4 | formal financial | enterprise? | | | | |
| N | FROM HOUS | EHOLD | SALE OF ASSETS | 5 | agencies? | | | LOAN FROM BANK (COM MICRO FINANCE, CREI | | |
| Т | ROSTER | | BANK OR COOPERATI' FAMILY OR FRIENDS | | | | | MONEY LENDER | 2 | |
| Е | | | COMMUNITY | | | | | OTHER LOANS | | |
| R | | | PRIVATE MONEYLEND | ERS8 | | | | COOPERATIVE/ | | |
| | | | MICRO CREDIT AND : INSTITUTIONS | | | | | TRADE ASSOCIATIONS. RELATIVES/FRIENDS. | | |
| Р | | | OTHER (SPECIFY) | | | | | OTHER (SPECIFY) | | |
| R | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | YES1 | YES1 | | | | |
| - 1 | | | | | NO2 | NO2 | YES1 NO2 | | | |
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| | ROSTER ID | ROSTER ID | | | | | | | | |
| L | #1 | #2 | | | | | | | | |
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| | 4g. 4h. 5. 6. | | | 7. | 8. | | | 9. | 10. | 11. | | | |
|----------|------------------------|-----------------|--------------------|-----------|------------------------------|-------------|---------------|--------------------------------|----------------|-----------------|------------|---------------------|------------------|
| | In the last 12 months, | In the last 12 | When | did this | To whom does/o | did this | Are the | During the las | st 12 months, | in which | During the | In those months | How many hired |
| | did the enterprise | months, what is | enterpr | ise start | enterprise mostl | ly sell its | activities of | months was e | enterprise act | vity highest? | last 12 | when operating, | workers did this |
| | have any loans that it | the amount | opera | ating? | products? | | this | | | | months of | what is the average | enterprise |
| | was repaying (in cash | repaid on loans | | | | | enterprise | RANK IN ORDER OF IMPORTANCE | | | operation, | number of days per | employ in the |
| | or kind)? | for the | SEPTEM | | | | seasonal? | | | | how many | month in which the | months in which |
| Е | · | enterprise? | OCTOBE NOVEMB | | | | | | | months was | enterprise | the enterprise | |
| N | | | DECEMB | ER4 | | SEPTEMBER | | SEPTEMBER1 MARCH 7 th | | this enterprise | | was operating? | |
| T | | | JANUAR FEBRUA | Y5 | LOCAL CONSUM | EDC OD | | OCTOBER2 APRIL8 NOVEMBER3 MAY9 | | active? | | | |
| E | | | MARCH. | 7 | PASSERS-BY | | | DECEMBER. | 4 JUNE. | 10 | | | EXCLUDE |
| R | | | APRIL. MAY | | MARKET TRADERS | 2 | | JANUARY FEBRUARY. | _ 0011. | 11 | | | MEMBERS OF |
| P | | | JUNE | 10 | COOPERATIVES | | | | AUGUJ | r12 E13 | | | THE HOUSEHOLD |
| R | | | JULY11 AUGUST12 | | NGOS5 | | | | | | | | |
| l i | | | PAGUME | | GOVERNMENT6 OTHER (SPECIFY)7 | | | | | | | | |
| S | | | | | | | | | | | | | |
| E | YES1 NO2 | | | | | | YES1 | | | | | | |
| | (▶ Q5) | | | | | | NO2 | MONTH | MONTH | MONTH | | AVERAGE NUMBER | |
| ١, | | | | 4 DIGIT | | | (►Q9) | | | | NUMBER OF | OF DAYS PER | NUMBER |
| D | | BIRR | MONTH | EC YEAR | RESPONSE 1 | RESPONSE 2 | | 1st | 2nd | 3rd | MONTHS | MONTH | |
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| | 12. | | | | | | 13. 14. | | | | | | 15. |
|-----------------------|-----------|-------------------|--------------|---------------|---------------------|-----------|---|-------|----------------------------------|------------------|---|---------------------------------|---|
| | RECORD HO | USEHOLD RO | STER ID OF E | this enterpri | WHO WORK | | During the months the enterprise was operating in the last 12 months, what were average | | | | erating in the last 12 luding stocks and hin | | Over the past 12 months, what share of total household cash income came from this enterprise? |
| E N T E R | | | | | | | monthly sales? | | | | | | ALMOST NONE1 ABOUT 25%2 ABOUT HALF3 |
| P R I S | | | | | | | | | | BIRR | | | ABOUT 75%4 ALMOST ALL5 |
| I D | ROSTER ID | PAID ROSTER ID | ROSTER ID | ROSTER ID | UNPAID ROSTER ID | ROSTER ID | BIRR | WAGES | PURCHASE OF GOODS FOR SALE | RAW MATERIALS | TRANSPORTATION | ALL OTHER OPERATING COSTS | |
| 1 | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | |
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| | 16. | | 17. | |
|------------------|---------------|-------------------------------|-------------|--|
| E N T E | List three mo | st important ousiness oper | | Does the enterprise have a license? |
| R | | | | |
| P | | | | |
| R I S E | REFER TO CC | NSTRAINT CO RIGHT | DDES ON THE | |
| | | YES1 NO2 | | |
| I D | 1-4 | | | |
| ٥ | 1st | 2nd | 3rd | |
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| 18. | 19. | | |
|---|--------------------------|--|------|
| Is any member of this household planning to open a non-farm enterprise in the next 12 months? | constraints members f | hree prima s preventing rom openir prise. | д HH |
| YES1 NO2 | | CONSTRAII | |
| | 1st | 2nd | 3rd |
| | | | |
| | | | |

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Codes for Q16 & 19 CONSTRAINTS
ELECTRICITY
11 = Access
12 = Quality
13 = Cost
TELECOMMUNICATIONS
  21 = Access
22 = Quality
23 = Cost
 WATER
31 = Access
32 = Quality
33 = Cost
  POSTAL SERVICES
 41 = Access
42 = Quality
43 = Cost
TRANSPORTATION
 TRANSPORTATION
61 = Road access
62 = Road quality
63 = Cost
64 = Facilities to transport goods
 64 = racilities to transport goods
FINANCIAL SERVICES
71 = Difficulty to borrow from family, friends or others
72 = Difficulty to borrow from formal financial institutions
73 = High interest rates
74 = Complicated bank loan procedures (too many forms)
75 = Fear of not being able to pay loan installments
Codes for Q16 & 19 (continued)
MARKETS
81 = Access to markets (distance and cost)
  82 = Difficult to obtain information on your product's market
83 = Low demand for goods and services produced
  GOVERNMENT
  91 = Corruption
92 = Uncertain economic policy
93 = Restrictive laws and regulations
 95 = RESTRICTIVE laws and regulations
SAFETY
101 = Criminality, theft and lawlessness
102 = Conflicts and social friction
 TECHNOLOGY

111 = Lack of training

112= Research costs
 113 = Access to computers
114 = Access to information and technology
REGISTRATION AND PERMITS
  121 = Time and cost of registering enterprise
122 = Time and cost of obtaining enterprise permits
   123 = Complicated enterprise registration and permit regulations
  TAXATION
  131 = High taxes
132 = Unofficial levies
  OTHER
140 = Other (specify)
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SECTION 12: OTHER INCOME

| | | 4 | 12 | 12 | | | | |
|-----|---|------------------------|-------------------------|-------------------|---------------|-------------------|--------------|------------|
| | | During the last 12 | How much [SOURCE] | Who in your hous | sahald | 4. How much of | [COLIDCE] co | mo from |
| | | _ | | kept/decided wh | | | | |
| 1 | | months, did you or any | | * * | | rurai/urbaii/ii | iternational | iocations: |
| Т | | members of your | receive in total during | money from [SOI | JRCE]? | | | |
| E | | household receive any | the last 12 months? | LICT LID TO 2 FDO | MALIQUISTUOLD | | | |
| М | | [SOURCE]? | ECTINANTE THE CACH | LIST UP TO 2 FRO | IM HOUSEHOLD | | | |
| С | | | ESTIMATE THE CASH | ROSTER. | | | | |
| ŏ | | | VALUE OF IN-KIND | | | EDON4 | EDOM4 | EDON4 |
| D | | YES.1 | TRANSFERS RECEIVED | | 1111 | FROM | FROM | FROM |
| Е | | NO2 ► NEXT SOURCE | | HH | HH | RURAL | URBAN | OTHER |
| | | NEXT SOURCE | | ROSTER | ROSTER | AREAS | AREAS | COUNTRIES |
| | COLINCE | | BIRR | ID CODE | ID CODE | BIRR | BIRR | BIRR |
| | SOURCE | | DINN | # 1 | # 2 | DINN | DINN | DINN |
| | Incoming Transfers/Gifts | 1 | 1 | 1 | | T | | T |
| 101 | Cash Transfers/Gifts from Individuals (Friends/Relatives) | | | | | | | |
| 102 | Food Transfers/Gifts from Individuals (Friends/Relatives) | | | | | | | |
| 103 | Non-Food In-Kind Transfers/Gifts from Individuals | | | | | | | |
| 103 | (Friends/Relatives) | | | | | | | |
| | Pension & Investment Income | | | | | - | | |
| 104 | Interest or Other Investment Income | | | | | | | |
| 105 | Pension Income | | | | | | | |
| | Rental Income | | | | | | | |
| | Income from Shop/Store/ House/ Rental/ Car, Truck, Other | | | | | | | |
| 106 | Vehicle Rental (DO NOT INCLUDE ANY NON-FARM | | | | | | | |
| | ENTERPRISE INCOME) | | | | | | | |
| 107 | Income from land rental | | | | | | | |
| 108 | Income from renting agricultural tools | | | | | | | |
| 109 | Income from renting transport animals | | | | | | | |
| | Revenue from Sales of Assets | | | | | | | |
| 110 | Income from Real Estate Sales | | | | | | | |
| 111 | Income from Household Non-Agricultural Asset Sales | | | | | | | |
| 112 | Income from Household Agricultural/Fishing Asset Sales | | | | | | | |
| | Other Income | | | | | • | | |
| 113 | Inheritance/ Lottery/Gambling Winnings | | | | | | | |

SECTION 13: ASSISTANCE

| SECTION 13: ASSISTANCE | | | | | | | | | | |
|---|---------------|---|-----------|---------------------|-----------------------|------------------------|---------------------------|------------|-----------|---------|
| 1. | | 2. | | 3. | 4. | 5. | 6. | 7. | | |
| Did you or members of your household | | What is the name of the organization/pr | ogram who | How much cash did | What was the value of | What was the value of | Was this aid given | Which m | embers o | of the |
| receive any [] in the past 12 months from | | provided this assistance? | | your household | food the household | . , | to the entire | househo | | pated |
| the government or a non-governmental | | | | receive from this | received from this | assistance received in | household or given | in this pr | ogram? | |
| institution (such as church, mosque)? | | GOVERNMENT1 | | organization in the | J | | to specific persons | | | |
| EVOLUDE CELEVIELD COOLIDS AND | | INTERNATIONAL NGO2 LOCAL NGO3 | | last 12 months? | last 12 months? | IF NONE RECORD "0" | in the household? | LIS | T UP TO 3 | 3 |
| EXCLUDE SELF-HELP GROUPS AND FRIENDS | | OTHER4 | | IF NONE RECORD "0" | IF NONE RECORD "0" | | ENTIRE HH1 | | | |
| FRIENDS | YES1 | | | | | | ► NEXT ITEM TO A PERSON2 | | | |
| | NO2 ▶ NEXT | | | | | | | HOUSEH | | STER ID |
| | ITEM | SPECIFIC NAME | CODE | BIRR | BIRR | BIRR | | 1 | 2 | 3 |
| PSNP (note: do not include PSNP | | | | | | | | | | |
| A. labour activites) | | | | | | | | | | |
| Other assistance (not PSNP): | | ounnementementementementementementementem | | | | | | | | |
| B. Free food | | | | | | | | | | |
| Food-for-work programme or cash-for- | | | | | | | | | | |
| work programme | | | | | | | | | | |
| Inputs-for Agricultural work | | | | | | | | | | |
| D. programme | | | | | | | | | | |
| Other assistance (not listed above), | | | | | | | | | | |
| E. specify: | | | | | | | | | | |
| | | | | | | | | | | |

SECTION 14: CREDIT and SAVING

[ASK OF HOUSEHOLD HEAD]

1. 'Over the past 12 months, did you or anyone else in this household borrow on credit from someone outside the household or from an institution for consumption, health, education, business or farming purposes, etc... receiving either cash or inputs worth more than Birr 150?

YES...1
NO...2 ▶29

| | | 2. | | 3. | | 4. | 5. | | 6. | 7. | | | 8. | |
|----------|-----|--|------|----------------|-------------|----------------------|----------------------|---------------|--------------|------------------|-------------|---------------|----------------|--------------------|
| L | . | From whom did you or | | Which househ | nold member | What was the main | When wa | s the loan | Has the loan | Approximately | when is the | How much was | paid (or exped | t to pay) in total |
| 0 |) [| anyone else in your | | was responsib | ole for the | reason for obtaining | obtained wit | thin the past | been re- | loan expected | to be paid | when the loan | is paid off? | |
| Α | A I | household borrow on | | loan? | | the loan? Was it: | 12 mc | onths? | paid? | back | ? | | | |
| N | 1 (| credit money for business | | | | [READ CODES ON NEXT | | | | | | THEN ► NEXT | LOAN. | |
| | | or farming over the past 12 | | LIST UP TO 2 F | | PAGE] | REPORT T | | | | | | | |
| N | 1 | months? | | HOUSEHOLD | ROSTER | | RECENT IF N | | | | | | | |
| 0 | | | | | | | 10 | | | | | | | |
| | | LIST ALL NAMES BEFORE | | | | | SEPTEMBER OCTOBER | | | SEPTEMBER. | | | | |
| | | GOING TO THE NEXT | | | | | NOVEMBER. | 3 | | NOVEMBER | 3 | | | |
| | | QUESTION. LOOK TO NEXT PAGE FOR CODES. | | | | | DECEMBER. JANUARY | | | DECEMBER JANUARY | | | | |
| | ľ | PAGE FOR CODES. | | | | | FEBRUARY. | 6 | | FEBRUARY. | 6 | | | |
| | | | | | | | MARCH APRIL | | | MARCH APRIL | | | | |
| | | | | | | | MAY JUNE | | | MAY | | | | |
| | | | | | | | JULY | | YES1 | JUNE | | | | |
| | | | | | | | AUGUST PAGUME | | (►Q8) | AUGUST | | | | |
| | | | | | | | TAGONE | 13 | NO2 | PAGUME | 13 | | | |
| | | | | HH ROSTER | HH ROSTER | İ | | 4 DIGIT EC | 1 | | 4 DIGIT EC | | BIRR | 1 |
| | | | CODE | ID CODE # 1 | ID CODE # 2 | | MONTH | YEAR | | MONTH | YEAR | PRINCIPAL | INTEREST | TOTAL |
| - | 1 | | | | | | | | | | | | | |
| 1 | L | | | | | | | | | | | | | |
| 2 | 2 | | | | | | | | | | | | | |
| 3 | 3 | | | | | | | | | | | | | |
| L | | | | | | | | | | | | | | |
| 4 | 1 | | | | | | | | | | | | | |
| 5 | 5 | | | | | | | | | | | | | |
| \vdash | - | | | | | | | | | | | | | |
| 6 | 5 | | | | | | | | | | | | | |

Form ESS-H (13/14)

| 9. | 10. | | 11. | 12. | 13. | | 14. | 15. | 16. | |
|------------------|---------------|-------|----------------------|--------------------|--------------|-----------|---------------|----------------------------|---|-------------------|
| During the last | Who turned | down | What was main | Is anyone in the | From whon | n or | What was | ENUMERATOR: WAS THE | Why did no one in the | household attempt |
| 12 months, did | this request? | ? | reason for trying to | household | which instit | ution | main reason | ANSWER TO QUESTIONS 1, 9 | to borrow in the last 1 | .2 months? [LIST |
| anyone in the | LIST UP TO 2 | 2. | obtain the loan? | awaiting word on | was the app | olication | for trying to | AND 12 ALL "NO"? | UP TO TWO ANSWERS | S IN ORDER OF |
| household try to | | | Was it: [READ | a loan that was | made for a | loan? | obtain the | | IMPORTANCE.] | |
| borrow from | USE CODES I | BELOW | RESPONSES] | applied for | LIST UP TO | 2. | loan? Was it: | ANSWER TO ALL 1, 9 & 12 | | |
| someone outside | | | | during the last 12 | | | [READ | QUESTIONS | | |
| the household or | | | USE CODES BELOW | months? | USE CODES | BELOW | RESPONSES] | "NO"1 | NO FARM OR BUSINE | |
| from an insti- | | | | | | | | | HAVE ADEQUATE /DI BELIEVED WOULD BE | |
| tution and were | | | | | | | USE CODES | ANSWER TO TO ALL 1, 9 & 12 | REFUSED | 3 |
| turned down? | | | | | | | BELOW. | QUESTIONS | TOO EXPENSIVE TOO MUCH TROUBLE | 4 |
| | | | | | | | | NOT | FOR WHAT IT IS WO | ORTH5 |
| | | | | | | | | "NO"2 ► NEXT SECTION | INADEQUATE COLLAT DO NOT LIKE TO BE | |
| | | | | | | | | | IN DEBT | |
| | | | | | | | | | DO NOT KNOW ANY I | |
| | | | | | | | | | FEAR NOT BE ABLE OTHER (SPECIFY) | |
| | | | | | | | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | |
| YES1 NO2 | | | | YES1 | | | | | | |
| (▶Q12) | | | | NO2 (▶Q15) | | | | | | |
| | 1ST | 2ND | | (= 415) | 1ST | 2ND | | | 1ST | 2ND |
| | | | | | i i | • | | | | |
| | | | | | | | | | | |

CODES FOR Q2, Q10& Q13:

| RELATIVE/FRIENDS1 NEIGHBOUR2 GROCERY/LOCAL MERCHANT3 MONEY LENDER (KATAPILA)4 EMPLOYER5 | RELIGIOUS INSTITUTION | CODES FOR Q4, Q11,6 Q14: PURCHASE HOUSE/LEASE LAND FOR BUSINESS/FARMING | FOR OTHER CROPS |
|---|-----------------------|--|-----------------|
|---|-----------------------|--|-----------------|

SECTION 15: CONTACT INFORMATION

| PHON | NUMBER FOR HOUSEHO | LD HEAD: | LANDLIN | E | CELL |
|-------------------------------|---|------------------------------|-------------------------------------|--|---|
| 1A | NAME : | | PHONE : | | · |
| | ase we are not able to malusehold? | ke contact with the househ | old head, could you kindly provide | us with the telephone nu | mbers of some other adult members of |
| PHON | NUMBERS FOR OTHER H | OUSEHOLD MEMBERS: | | | |
| 2A. | NAME : | | ID (FROM ROSTER) | PH | ONE : |
| 2B. | NAME : | | ID (FROM ROSTER) | PH | IONE : |
| 2C. | NAME : | | ID (FROM ROSTER) | PH | IONE : |
| 3. If yo | u were to move in the nex | at two years, who are the pe | eople in this village/town/city who | would be most likely to k | now your new address? |
| - | u were to move in the nex | , | eople in this village/town/city who | • | now your new address? N FOR REFERENCE PERSON 2 |
| CONTA | | , | | • | , |
| CONTA | CT INFORMATION FOR RE | FERENCE PERSON 1 | 3B1. | CONTACT INFORMATION | N FOR REFERENCE PERSON 2 |
| CONT <i>A</i> 3A1. 3A2. | CT INFORMATION FOR RE | FERENCE PERSON 1 | 3B1. 3B2. | CONTACT INFORMATION | N FOR REFERENCE PERSON 2 |
| - | CT INFORMATION FOR RE NAME RELATION TO HEAD | FERENCE PERSON 1 :: | 3B1. 3B2. 3B3. | CONTACT INFORMATION NAME RELATION TO HEAD | N FOR REFERENCE PERSON 2 :: |
| CONTA 3A1. 3A2. 3A3. | CT INFORMATION FOR RE NAME RELATION TO HEAD PHONE (LANDLINE) | :: | 3B1. 3B2. 3B3. | CONTACT INFORMATION NAME RELATION TO HEAD PHONE (LANDLINE) | FOR REFERENCE PERSON 2 : : : |

SECTION 1: HOUSEHOLD ROSTER

ENUMERATOR: REFER TO THE COVER SECTION, Q12a.

IF "1" FOR PANEL HOUSEHOLD, PREFILL ALL HOUSEHOLD MEMBERS FROM LIVESTOCK QUESTIONNAIRE (POST-PLANTING IF HOUSEHOLD DID NOT HAVE LIVESTOCK).

IF "2" FOR NEW HOUSEHOLD (IF THE HH IS FROM THE NEWLY ADDED URBAN ENUMERATION AREAS), RECORD A FRESH HOUSEHOLD ROSTER

| | 1. | 2. | 3. | 4. | - // | | 4b. |
|-----------------------|--|--|--------------------|--|--|---|------------------------------------|
| 1 | NAME | What is [NAME]'s relationship to the head of | What is the sex of | | is [NAME] red year)? | | Is [NAME] a new member |
| N D I V I D U A L I D | LIST ALL HOUSEHOLD MEMBERS, COPIED FROM LIVESTOCK QUESTIONNAIRE (IF HOUSEHOLD DID NOT ANSWER A LIVESTOCK QUESTIONNAIRE, COPY ROSTER FROM POST-PLANTING QUESTIONNAIRE). ALL MEMBERS MUST BE COPIED IN THE EXACT SEQUENCE LISTED IN THE PP OR LIVESTOCK ROSTER. ANY NEW HOUSEHOLD MEMBERS SHOULD BE ADDED TO THE END AND MUST GET A NEW ID. | household? HEAD | MALE1 FEMALE2 | IF RESP DOESN'T I YEAR OF CALCULATE MAJOR EVI IN ENUM MANUAL T RESPO (If 7 years a YEARS only, years in ago and MONTH | ONDENT (NOW, USE BIRTH TO AGE OR USE ENTS LISTED MERATOR TO PROMPT NDENT. and over give If less than 7 e give YEARS IS. If less than th put "0") MONTHS | ENUMERATOR: REFER TO THE COVER SECTION, Q12a. SKIP TO Q5 IF THIS IS A BRAND NEW HOUSEHOLD (CODE=2). IF THIS IS A PANEL HOUSEHOLD FROM WAVE 1 | of this household? YES1 (▶Q5) NO2 |
| 1 | | | | | | (CODE=1), | |
| 2 | | | | | | CONTINUE ON | |
| 3 | | | | | | TO 4b. | |
| <u>4</u> 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |

| EDUCATION CODES (SECTION 2, QUESTIONS 5 AND 8) |
|---|
| BASED ON BOTH CURRICULUMS KINDERGARTEN, 0 GRADE, NURSERY, OR 1ST GRADE. 0 1ST GRADE. 1 2ND GRADE 2 3RD GRADE. 3 4TH GRADE. 4 5TH GRADE. 5 6TH GRADE. 6 7TH GRADE. 7 8TH GRADE. 8 |
| BASED ON PREVIUOS CURRICULUM 9TH GRADE. 9 10TH GRADE. 10 11TH GRADE. 11 12TH GRADE + 1 (CERTIFICATE) 12 12TH GRADE + 1 (CERTIFICATE) 13 TEACHER TRAINING CERTIFICATE 14 1ST YEAR COLLEGE. 15 2ND YEAR COLLEGE. 16 DIPLOMA. 17 3RD YEAR COLLEGE. 18 BACHELOR'S DEGREE PROGRAM (INCLUDING M.D.) 19 POSTGRADUATE DIPLOMA (M.A., PHD, MPHIL, ETC.) 20 |
| ## BASED ON NEW CURRICULUM 9TH GRADE |